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COMMENT

On the symmetries of the Julia sets for the process $z \Rightarrow z^p + c$

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Abstract. The self-replicating properties of the Julia sets $J_c(p)$ for the iterative process $z \Rightarrow z^p + c$ are examined for integers $p > 1$. It is shown that the corresponding Mandelbrot sets $M(p)$ contain $(p - 1)$ -fold symmetries, which results in $J_c(p)$ having p -fold symmetries.

The Julia sets and the Mandelbrot set for the iterative process $z \Rightarrow z^2 + c$, $c \in \mathbb{C}$, $z \in \mathbb{C}$, have been widely investigated in recent years, so much so that they are the exclusive subjects of a recent book (Peitgen and Richter 1986). Briefly stated, these examinations check if the process

$$z_{n+1} = (z_n)^2 + c \tag{1}$$

remains bounded. In (1), if z_0 varies in the field \mathbb{C} of complex numbers and c is fixed, a Julia set J_c results; and if $z_0 = 0$ but c varies in \mathbb{C} then the Mandelbrot set M is formed.

With reference to the Julia sets of the process (1), if z_0 is far from zero, then the sequence $\{z_n\}$ converges to ∞ very quickly; and, even if some z_m turns out to be far from zero, then too the sequence $\{z_n\}$ converges to zero as $n(n > m)$ distances itself from m (Douady 1986). However, if z_0 is close to zero, then the sequence $\{z_n\}$ converges to some finite value, called a *strange attractor*. These last classes of z_0 may form simply connected *basins of attraction*, each basin containing a strange attractor. There may be one or many basins, or even none; whether or not there will be basins of attraction depends on the specific value of the complex constant c . Although the actual Julia set is defined as the boundary of the (multiply) connected set of z_0 for which the sequence $\{z_n\}$ is bounded, we will inaccurately refer to the whole z plane, suitably encoded, as the Julia set J_c . This encoding depends on the integer N : if the sequence $\{z_n\}$ is unbounded, then $|z_N|^2 > R$ and $|z_{N-1}|^2 \leq R$, where R is some arbitrarily fixed large number and N too is some large integer. Figure 1 shows the FORTRAN algorithm which can be used for this purpose.

The Mandelbrot set M is also generated by the process (1), but with $z_0 = 0$ and c varying. The boundary of the multiply connected set of c in which the sequence $\{z_n\}$ converges to some finite value is the set M , and provided $c \in M'$, the interior of M , the corresponding Julia set J_c will contain basins of attraction. Should c be otherwise, then J_c is not connected.

An important point to note is that while M is not self-similar, J_c is (Douady 1986). Furthermore, the J_c exhibit periodicity, because for a given z_0 and c , it is possible that the sequence $\{z_0, z_1, z_2, \dots, z_k\}$ repeats itself. It is also possible that some sequences

```

      INTEGER P
      COMPLEX Z, Z0, C
-----
C      NOTES
C      (1)GIVE (XMAX-XMIN)/(YMAX-YMIN) IN THE RATIO 1024/760 FOR GENISCO SCREEN
C      (2)GIVE NA/NB ALSO IN THE SAME RATIO
-----
      CALL INITT (30)
C
      PRINT*, 'GIVE C = C1+iC2.'
      READ (5,*) C1, C2
      PRINT*, 'GIVE THE GROWTH POWER P > 1.'
      READ(5,*) P
      PRINT*, 'GIVE XMIN, XMAX, YMIN & YMAX.'
      READ(5,*) XMIN, XMAX, YMIN, YMAX
      PRINT*, 'GIVE NA & NB.'
      READ(5,*) NA, NB
C
      DELX = (XMAX - XMIN)/DFLOAT(NA - 1)
      DELY = (YMAX - YMIN)/DFLOAT(NB - 1)
      C = DCMLPX(C1,C2)
      KMAX = 15
      RMAX = 1.0E+02
C
      DO 1000 NX = 1, NA
      NX = NXX - 1
      DO 1000 NY = 1, NB
      NY = NY - 1
      Z0 = DCMLPX(XMIN + NX*DELX, YMIN + NY*DELY)
      K = 0
C
      25      K = K+1
      Z = C + (Z0**P)
      R = CABS(Z)**2
      IF (R.GT.RMAX) KOLOR = K
      IF (R.GT. RMAX) GO TO 50
      IF (K.EQ.KMAX) KOLOR = 0
      IF (K.EQ.KMAX) GO TO 50
      Z0 = Z
      GO TO 25
C
      50      IF(MOD(KOLOR,2).NE.0) CALL PNTABS(NX,NY)
      1000     CONTINUE
C
      CALL FINITT(0,767)
      STOP
      END

```

Figure 1. FORTRAN algorithm to generate Julia sets for the process $z \Rightarrow z^p + c$, $c \in \mathbb{C}$, $z \in \mathbb{C}$, $p > 1$. The PLOT10 package was used on the DEC VAX 11/730 computer along with a Genisco graphics terminal. The plot subroutine PNTABS is part of the PLOT10 package.

be *preperiodic*, i.e. they fall into a repetitive cycle after a few initial steps. For the iterative process (1), these phenomena have been extensively investigated and are summarised most lucidly by Douady (1986).

Shown in the lower-left hand corners of figures 2-5 are the Julia sets drawn for the iterative process (1) with several different values of c . The coding of these maps can be gleaned from the algorithm given in figure 1, and is as follows: if $|z_N|^2 > R = 100$, $N < 16$, then the location z_0 is coloured black if N is odd; otherwise the location of z_0 is coloured white. The evidence of periodicity in these sample maps should be carefully noted: a copy of any identifiable structure can be found by rotating the picture by π radians, and trivially so when $c \approx 0$.

What happens if the iterative process (1) were to be replaced by the similar process

$$z_{n+1} = (z_n)^p + c \quad (2)$$

where p is a positive integer greater than 1? (Of course, if $p = 2$, then the familiar Julia sets J_c and the Mandelbrot set M result.) This has also been examined in figures 2-5, where the Julia sets $J_c(p)$ have been illustrated for $p = 2, 3, 4$ and 5. In each case, one observes the presence of a p -fold symmetry in $J_c(p)$. Particularly in figure 5, where $-c = (1.2 + i0.7)$, the p -fold symmetry has manifested itself very clearly as a p -replication

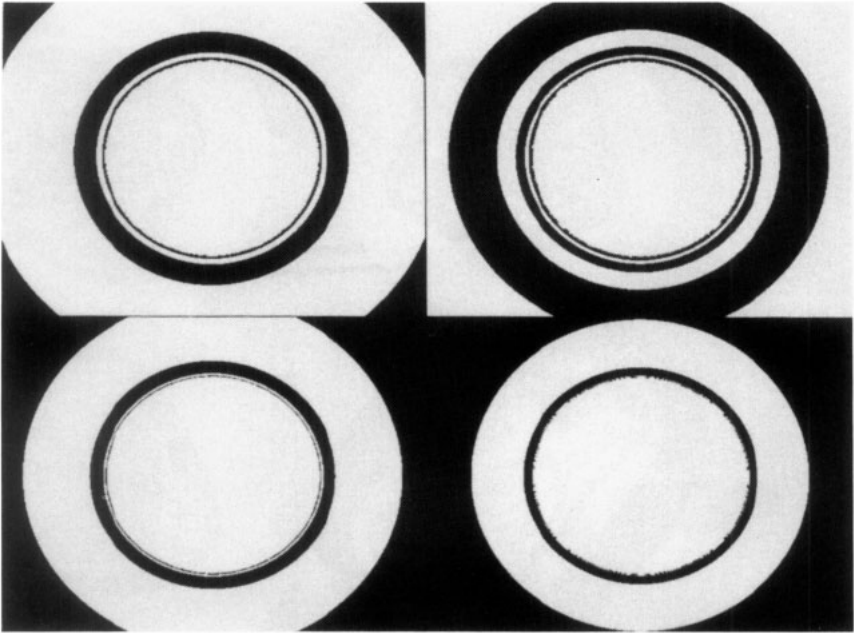


Figure 2. Julia sets $J_c(p)$ for the iterative process $z \Rightarrow z^p + c$ where $c = 0.0001 + i0.0001$. Counterclockwise, from the bottom left-hand quadrant, $p = 2, 3, 4$ and 5 . For each quadrant, $|\text{Re}\{z\}| \leq 2.0, |\text{Im}\{z\}| \leq 1.6$ and the significance of black and white colouring is explained in the text.

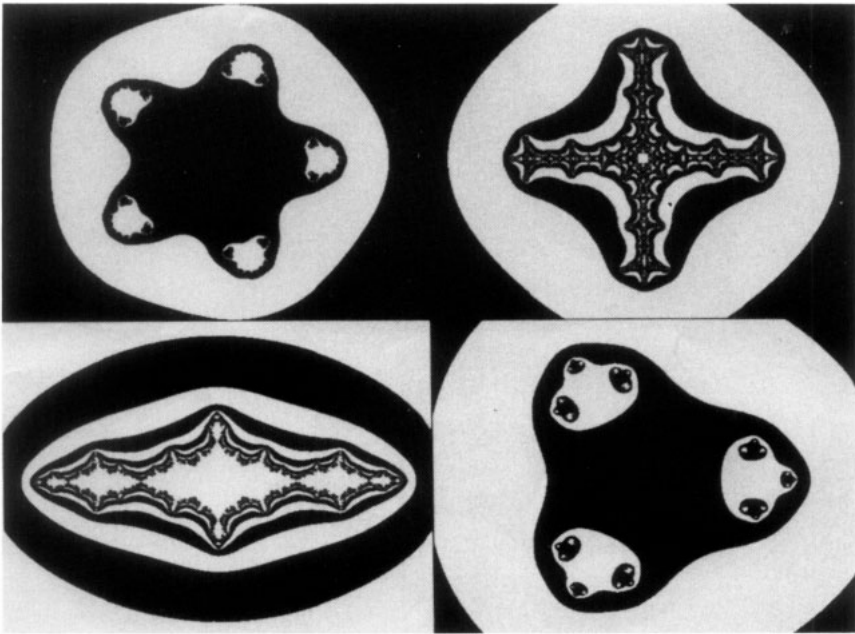


Figure 3. As for figure 2, but $c = -1.25 + i0.0$.

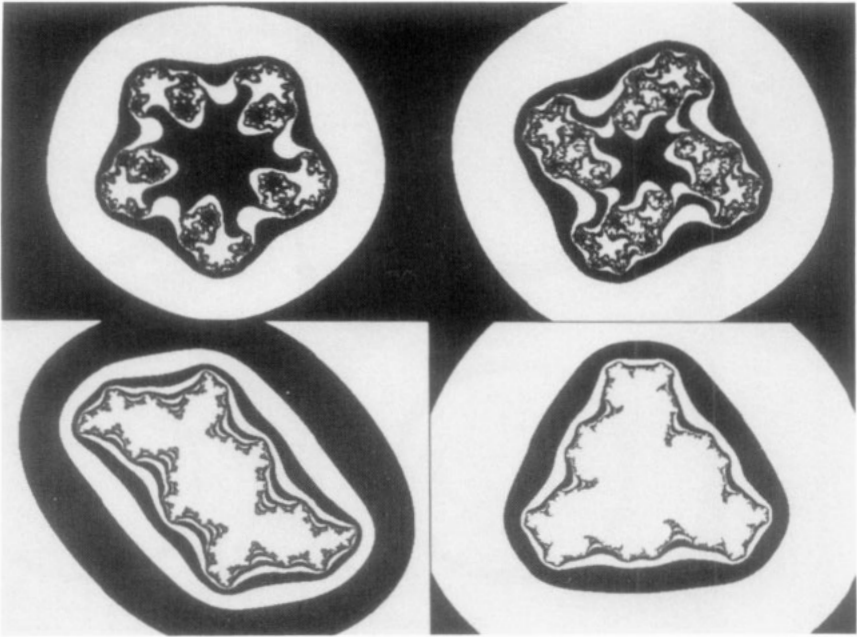


Figure 4. As for figure 2, but $c = -0.12 + i0.74$.

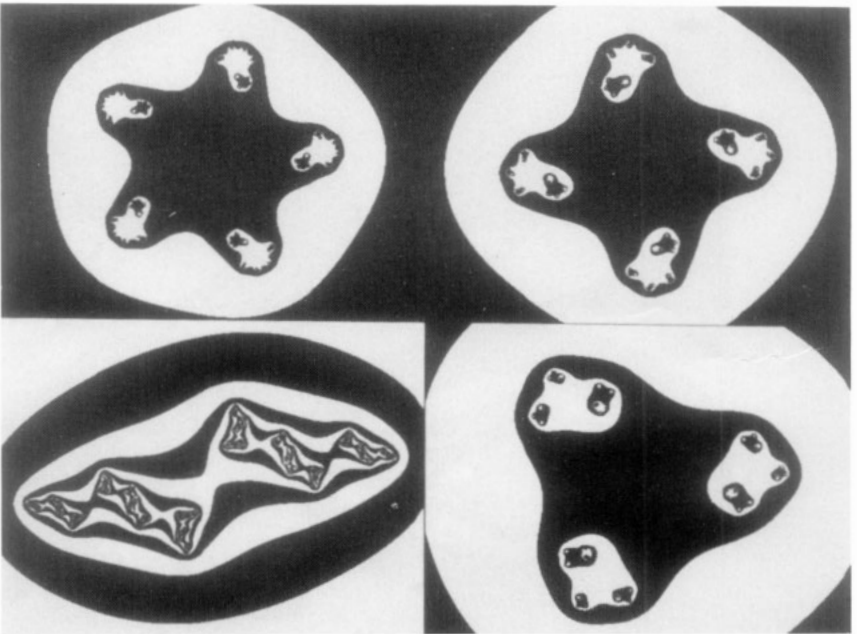


Figure 5. As for figure 2, but $c = -1.2 - i0.7$.

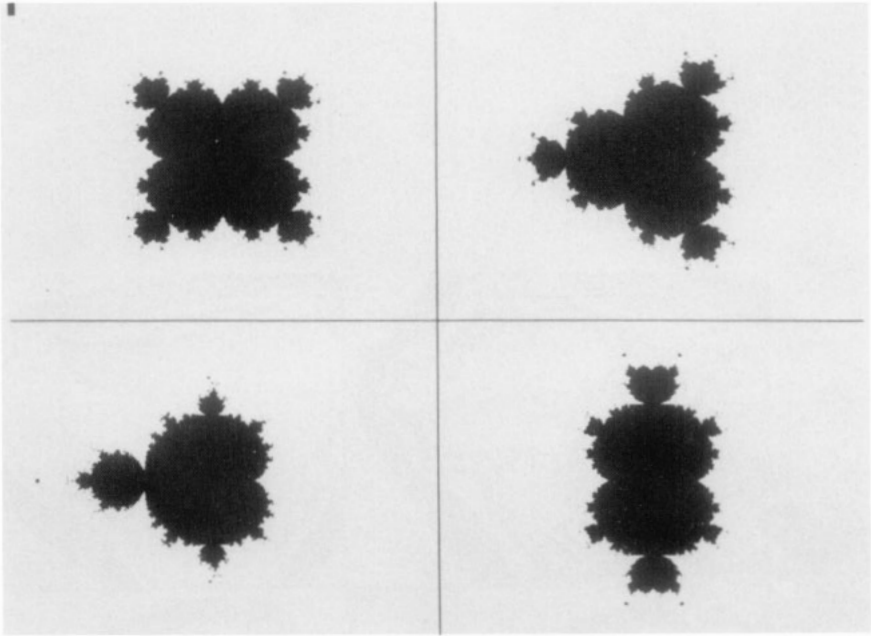


Figure 6. Mandelbrot sets $M(p)$ for the iterative process $z \Rightarrow z^p + c$. Counterclockwise, from the bottom left-hand quadrant, $p = 2, 3, 4$ and 5 . For each quadrant, $|\operatorname{Re}\{c\}| \leq 2.0$, $|\operatorname{Im}\{c\}| \leq 1.6$.

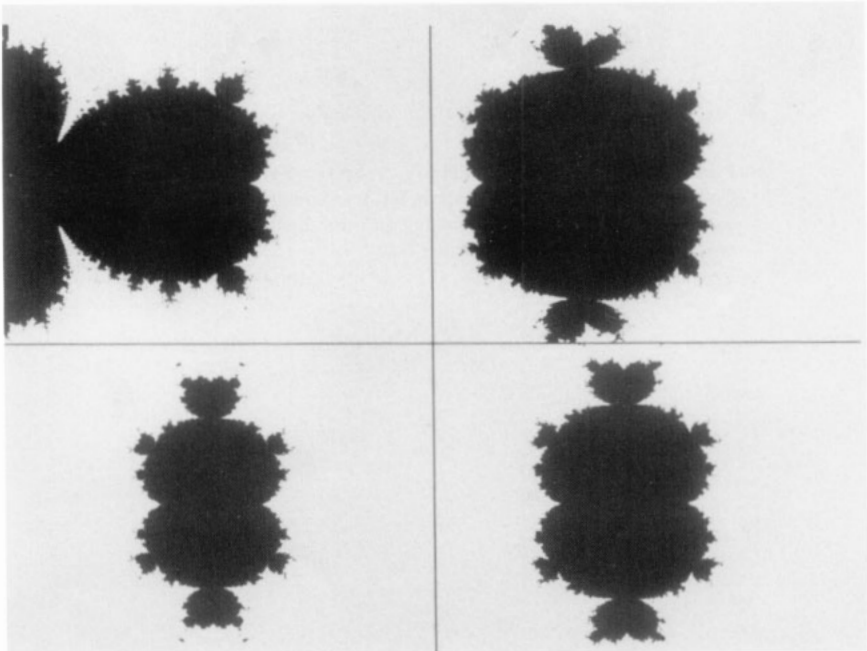


Figure 7. Mandelbrot sets for the iterative process $z \Rightarrow \alpha z^2 + (1 - \alpha)z^3 + c$. Counterclockwise, from the bottom left-hand quadrant, $\alpha = 0.2, 0.4, 0.6$ and 0.8 . For each quadrant, $|\operatorname{Re}\{c\}| \leq 2.0$, $|\operatorname{Im}\{c\}| \leq 1.6$.

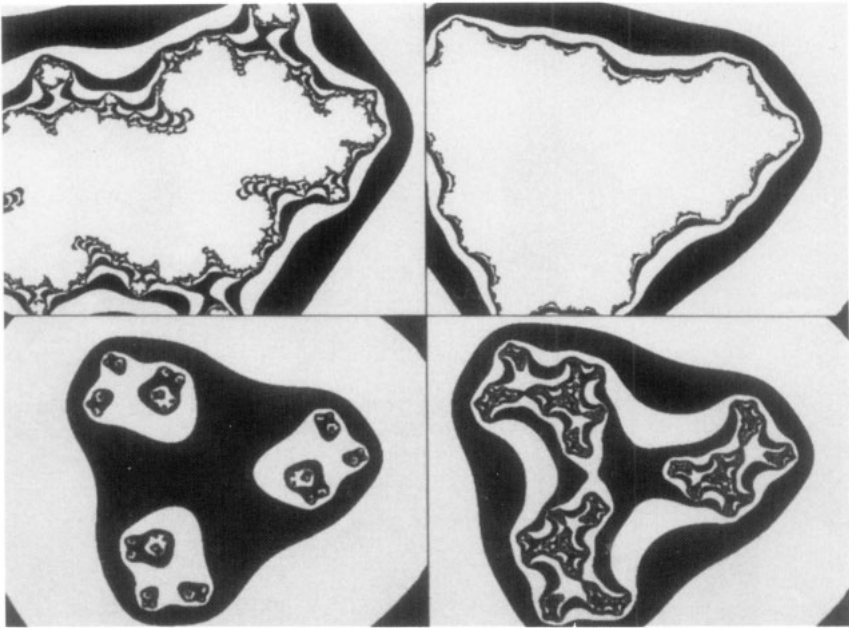


Figure 8. Julia sets for the iterative process $z \Rightarrow \alpha z^2 + (1 - \alpha) z^3 + c$ where $c = -1.2 - i0.7$. Counterclockwise, from the bottom left-hand quadrant, $\alpha = 0.2, 0.4, 0.6$ and 0.8 . For each quadrant, $|\text{Re}\{z\}| \leq 2.0$, $|\text{Im}\{z\}| \leq 1.6$, and the significance of black and white colouring is the same as for figures 2-5.

process, a copy of any identifiable structure can be readily obtained through the rotation of $J_c(p)$ by an angle $2\pi/p$.

Further examination of this phenomena is facilitated by figure 6, where the Mandelbrot sets $M(p)$ corresponding to the process (2) have been plotted for $p = 2, 3, 4$ and 5. It is very clear that the set $M(p)$ exhibits a $(p-1)$ -fold symmetry, the corresponding $J_c(p)$ showing a p -fold one. If c lies to the exterior of $M(p)$, then $J_c(p)$ is unconnected and contains a fractal p -replication process.

In recent years, an interesting development has occurred in the application of the renormalisation theory for understanding phase transformations. As an example, let us consider a magnet at a temperature T which has been partitioned into N identical cubes of side a at the finest level of resolution. If, on an even coarser scale, the magnet is partitioned into identical $N' = N/b^3$ cubes of side $a' = ba, b > 1$, it appears to have another (renormalised) temperature T' . The mapping $T' = R(T)$ is called the renormalisation transform (Wilson 1971a, b). What turns out to be of great interest is that the Julia set of the iterative process $T \Rightarrow R(T)$ for these hierarchial models is identical with the Yang-Lee set of zeros (Derrida *et al* 1983), i.e. the solutions of the polynomial equation

$$c_0 + c_1z + c_2z^2 + \dots + c_Nz^N = 0. \quad (3)$$

Whether or not this identity is fortuitous, it creates a powerful incentive to explore Julia sets for polynomial iterative processes. Shown in figure 7 is the Mandelbrot set for the process $z \Rightarrow \alpha z^2 + (1-\alpha)z^3 + c$ for $\alpha = 0.2, 0.4, 0.6$ and 0.8; in figure 8, the corresponding Julia sets are shown for a value of c which lies in the exterior of both $M(2)$ and $M(3)$. All the Mandelbrot sets in figure 7 are symmetric about the x axis, while all the Julia sets are symmetric about the y axis also, which means that the lower exponent of z in this mapping is the one dictating symmetry. To be noted is the fact that there is evidence of an additional symmetry in figures 7 and 8, that being due to the higher exponent of z in the mapping, but it degenerates as the value of α decreases. From these and other computations, we have concluded that the Mandelbrot set for the process

$$z \Rightarrow \left(\sum_{n=N}^M \alpha_n z^n \right) + c \quad 1 < N < M \quad (4)$$

exhibits a $(N-1)$ -fold symmetry, the corresponding Julia sets having N symmetry axes.

References

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